

Confluent Let-Floating

Clemens Grabmayer and Jan Rochel

Dept. of Philosophy
Dept. of Information & Computing Sciences
Utrecht University

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Motivation

λ_{letrec} as an abstraction & the core of functional languages

- ➊ supercombinator translations of functional programs
(Hughes, Peyton-Jones, 1980ies)

lambda-lifting = parameter addition + let-floating

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- ➋ optimizations of supercombinator transl. (Danvy, Schulz, 1990ies):
converse of lambda-lifting:

lambda-dropping = block-sinking + parameter dropping

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- ➌ term graph interpretations of λ_{letrec} -terms (ignore let-bindings)
for definition of a λ_{letrec} -term readback desirable:
canonical representatives of let-floating/block-sinking equiv. classes

Let-floating

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(partial) supercombinator definition

$$Y = \lambda xy. + y x$$

$$X = \lambda x. Y x x$$

$$X 4$$

supercombinator definition

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let $Y = \lambda xy. + y x$ **in** $(\lambda x. Y x x) 4$

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Contribution and terminology

we develop a rewrite analysis of **let-floating**:

direction	literature	here		sign
upward/outward	let-floating	let-lifting	let-floating	let ↑
downward/inward	block-sinking	let-sinking		let ↓

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introduce **let-floating** HRSs:

- ▶ upward/outward: a **let-lifting** HRS R_{let}^{\nearrow}
- ▶ downward/inward: a **let-sinking** HRS $R^{let\searrow}$

so that these are **terminating**

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show their **confluence** by:

- ▶ critical pair analysis (\Rightarrow local confluence)
- ▶ termination
- ▶ Newman's Lemma

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Let-lifting

Abstractions may block $\text{let}^\not\rightarrow$ -steps, but not applications:

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Let-lifting rules

Let-lifting HRS $\mathbf{R}_{\text{let} \nearrow}$ with rewrite relation $\text{let} \nearrow$:

$$(\text{let} \nearrow @_0) \quad (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f})) E_1 \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f}) E_1$$

$$(\text{let} \nearrow @_1) \quad E_0 (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_1(\vec{f})) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0 E_1(\vec{f})$$

$$(\text{let} \nearrow \lambda) \quad \lambda x. \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x)$$

$$\rightarrow \begin{cases} \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. E(\vec{f}, x) & \text{if } \vec{g} \text{ is empty} \\ \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. \text{let } \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x) \end{cases}$$

$$(\text{let-in-let} \nearrow) \quad \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}, \vec{g})$$

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 $\text{app}((\text{let}_{n\text{-in}}(\vec{y}.(x_1(\vec{y}), \dots, x_n(\vec{y}), z_0(\vec{y})))), z_1)$
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- ($\text{let} \nearrow @_1$) $E_0 (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_1(\vec{f})) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0 E_1(\vec{f})$
- ($\text{let} \nearrow \lambda$) $\lambda x. \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x)$
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$$(\text{let-let} \nearrow) \quad \text{let } \vec{f} = \vec{F}(\vec{f}, g), g = \text{let } \vec{h} = \vec{H}(\vec{f}, g, \vec{h}) \text{ in } G(\vec{f}, g, \vec{h}) \text{ in } E(\vec{f}, g)$$
$$\rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}, g), g = G(\vec{f}, g, \vec{h}), \vec{h} = \vec{H}(\vec{f}, g, \vec{h}) \text{ in } E(\vec{f}, g)$$

Let-lifting rules

Let-lifting HRS $\mathbf{R}_{\text{let}} \nearrow$ with rewrite relation $\text{let} \nearrow$:

$$(\text{let} \nearrow @_0) \quad (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f})) E_1 \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f}) E_1$$

$$(\text{let} \nearrow @_1) \quad E_0 (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_1(\vec{f})) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0 E_1(\vec{f})$$

$$(\text{let} \nearrow \lambda) \quad \lambda x. \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x)$$
$$\rightarrow \begin{cases} \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. E(\vec{f}, x) & \text{if } \vec{g} \text{ is empty} \\ \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. \text{let } \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x) \end{cases}$$

$$(\text{let-in-let} \nearrow) \quad \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}, \vec{g})$$
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Let-lifting rules

Let-lifting HRS $\mathbf{R}_{\text{let} \nearrow}$ with rewrite relation $\text{let} \nearrow$:

$$(\text{let} \nearrow @_0) \quad (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f})) E_1 \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f}) E_1$$

$$(\text{let} \nearrow @_1) \quad E_0 (\text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_1(\vec{f})) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0 E_1(\vec{f})$$

$$(\text{let} \nearrow \lambda) \quad \lambda x. \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x)$$

$$\rightarrow \begin{cases} \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. E(\vec{f}, x) & \text{if } \vec{g} \text{ is empty} \\ \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. \text{let } \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \text{ in } E(\vec{f}, \vec{g}, x) \end{cases}$$

$$(\text{let-in-let} \nearrow) \quad \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}, \vec{g})$$

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Let-lifting rewrite relations

Needed: conversion $=_{\text{ex}}$ induced by rule:

(exchange) $\text{let } B_0, f_i = F_i(\vec{f}), f_{i+1} = F_{i+1}(\vec{f}), B_1 \text{ in } E(\vec{f})$
 $\rightarrow \text{let } B_0, f_{i+1} = F_{i+1}(\vec{f}), f_i = F_i(\vec{f}), B_1 \text{ in } E(\vec{f})$

Let-lifting rewrite relations

Needed: conversion $=_{\text{ex}}$ induced by rule:

$$\begin{aligned} \text{(exchange)} \quad & \mathbf{let} \ B_0, \ f_i = F_i(\vec{f}), \ f_{i+1} = F_{i+1}(\vec{f}), \ B_1 \ \mathbf{in} \ E(\vec{f}) \\ & \rightarrow \mathbf{let} \ B_0, \ f_{i+1} = F_{i+1}(\vec{f}), \ f_i = F_i(\vec{f}), \ B_1 \ \mathbf{in} \ E(\vec{f}) \end{aligned}$$

Define:

$$L \xrightarrow{\text{let}} L' \iff L =_{\text{ex}} \cdot \xrightarrow{\text{let}} \cdot =_{\text{ex}} L' \quad (\xrightarrow{\text{let}} \text{ modulo } =_{\text{ex}})$$

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$$[L] =_{\text{ex}} [\text{let}] \xrightarrow{\text{ }} [L'] =_{\text{ex}} : \iff L \xrightarrow{\text{let}} L' \quad (\text{on } =_{\text{ex}}\text{-equivalence classes})$$

Let-lifting rewrite relations

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Define:

$$L \xrightarrow{\text{let}} L' : \iff L =_{\text{ex}} \cdot \xrightarrow{\text{let}} \cdot =_{\text{ex}} L' \quad (\xrightarrow{\text{let}} \text{ modulo } =_{\text{ex}})$$

$$[L] =_{\text{ex}} [\text{let}] \xrightarrow{} [L'] =_{\text{ex}} : \iff L \xrightarrow{\text{let}} L' \quad (\text{on } =_{\text{ex}}\text{-equivalence classes})$$

→ is called **locally confluent modulo \sim** if $\leftarrow \cdot \rightarrow \subseteq \Rightarrow \cdot \sim \cdot \Leftarrow$.

Lemma

- (i) $\xrightarrow{\text{let}}$ is locally confluent modulo $=_{\text{ex}}$.
- (ii) $[\text{let}] \xrightarrow{}$ is locally confluent.

Critical pair example

Proof.

- (i) define HRS $\mathbf{R}_{\text{let} \nearrow \text{ex}}$ with rewrite rel. $=_{\text{ex}} \hookrightarrow_{\text{let} \nearrow}$ [Peterson, Stickel, '81]
 - ▶ rule scheme (σ) of $\mathbf{R}_{\text{let} \nearrow}$ \longmapsto rule scheme $(\sigma)_{=_{\text{ex}}}$ of $\mathbf{R}_{\text{let} \nearrow \text{ex}}$

Critical pair example

Proof.

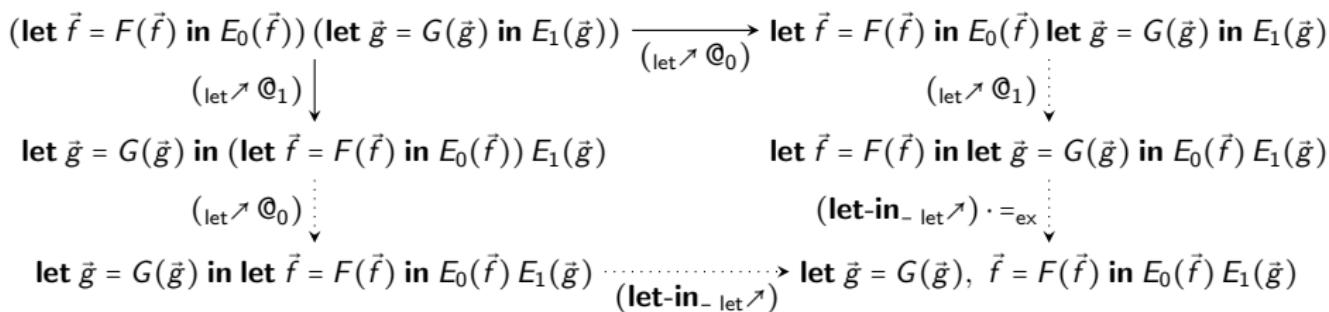
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- (ii) carry out a critical pair analysis

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$(\text{let} \nearrow @_0)_{=_{\text{ex}}} / (\text{let} \nearrow @_1)_{=_{\text{ex}}}:$

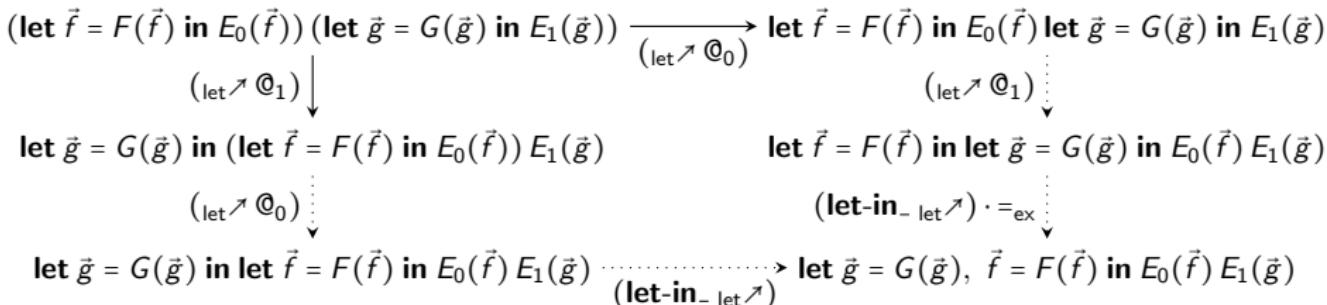


Critical pair example

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- (iii) Critical Pair Theorem for HRS [Mayr, Nipkow, '96] implies local confluence of $=_{\text{ex}} \hookrightarrow_{\text{let} \nearrow}$

$(\text{let} \nearrow @_0)_{=_{\text{ex}}} / (\text{let} \nearrow @_1)_{=_{\text{ex}}}$:

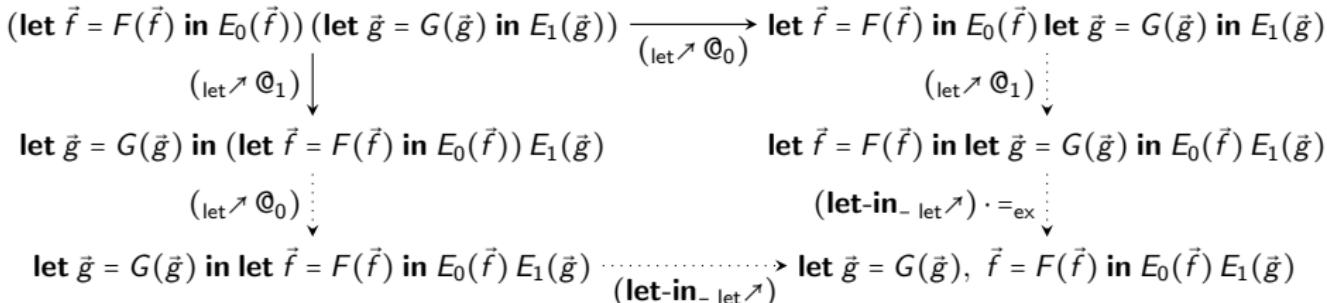


Critical pair example

Proof.

- (i) define HRS $\mathbf{R}_{\text{let} \nearrow \text{ex}}$ with rewrite rel. $=_{\text{ex}} \hookrightarrow \text{let} \nearrow$ [Peterson, Stickel, '81]
 - rule scheme (σ) of $\mathbf{R}_{\text{let} \nearrow}$ \longrightarrow rule scheme $(\sigma)_{=_{\text{ex}}}$ of $\mathbf{R}_{\text{let} \nearrow \text{ex}}$
- (ii) carry out a critical pair analysis
- (iii) Critical Pair Theorem for HRS [Mayr, Nipkow, '96] implies local confluence of $=_{\text{ex}} \hookrightarrow \text{let} \nearrow$
- (iv) $\text{let} \nearrow$ -steps and $=_{\text{ex}}$ -steps at different positions commute
- (v) then it follows:
local confluence of $\text{let} \nearrow$ modulo $=_{\text{ex}}$, and local confluence of $[\text{let}] \nearrow$

$$(\text{let} \nearrow @_0)_{=_{\text{ex}}} / (\text{let} \nearrow @_1)_{=_{\text{ex}}}:$$



Let-lifting is confluent

Lemma

let^\rightarrow and $[\text{let}]^\rightarrow$ are terminating.

Proposition

In every let^\rightarrow or $[\text{let}]^\rightarrow$ -normal form, **let**-subterms occur only:

- ▶ at the root;
- ▶ immediately below λ -abstractions.

Theorem

$[\text{let}]^\rightarrow$ is confluent, terminating, and uniquely normalizing.

Proof.

By using Newman's Lemma. □

Let-sinking

Applications may ‘block’ $\text{let} \searrow$ -steps, but not abstractions:

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let f =  $\lambda y. y$  in  $\lambda x. f f x$ 
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$\text{let} \searrow \lambda x. (\text{let } f = \lambda y. y \text{ in } f f) x$ (let-sinking over application)

Let-sinking

Applications may ‘block’ $\text{let} \searrow$ -steps, but not abstractions:

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$\text{let} \searrow \lambda x. (\text{let } f = \lambda y. y \text{ in } f f) x \quad (\text{let-sinking over application})$

in the sense that further sinking needs duplication:

$\lambda x. (\text{let } f = \lambda y. y \text{ in } f) (\text{let } f = \lambda y. y \text{ in } f) x \quad (\text{unfolding})$

which decreases (here loses) sharing (changes graph interpretation).

Let-sinking rules

Let-sinking HRS $\mathbf{R}^{\text{let}\searrow}$ with rewrite relation $\text{let}\searrow$:

$$(\text{let}\nearrow @_0) \quad \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E_0(\vec{f}, \vec{g}) E_1(\vec{f})$$

$$\rightarrow \begin{cases} (\text{let } \vec{g} = \vec{G}(\vec{g}) \text{ in } E_0(\vec{g})) E_1 & \text{if } \vec{f} \text{ is empty} \\ \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } (\text{let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E_0(\vec{f}, \vec{g})) E_1(\vec{f}) \end{cases}$$

$$(\text{let}\nearrow @_1) \quad \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E_0(\vec{f}) E_1(\vec{f}, \vec{g})$$

$$\rightarrow \begin{cases} E_0 (\text{let } \vec{g} = \vec{G}(\vec{g}) \text{ in } E_1(\vec{g})) & \text{if } \vec{f} \text{ is empty} \\ \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E_0(\vec{f}) (\text{let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E_1(\vec{f}, \vec{g})) \end{cases}$$

$$(\text{let}\searrow \lambda) \quad \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } \lambda x. E(\vec{f}, x) \rightarrow \lambda x. \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E(\vec{f}, x)$$

$$(\text{let}\searrow \text{let}_-) \quad \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in let } \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}, \vec{g})$$

$$\rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}, \vec{g})$$

$$(\text{let}_- \text{let}\searrow) \quad \text{let } \vec{f} = \vec{F}(\vec{f}, g), g = G(\vec{f}, g, \vec{h}), \vec{h} = \vec{H}(\vec{f}, g, \vec{h}) \text{ in } E(\vec{f}, g)$$

$$\rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}, g), g = \text{let } \vec{h} = \vec{H}(\vec{f}, g, \vec{h}) \text{ in } G(\vec{f}, g, \vec{h}) \text{ in } E(\vec{f}, g)$$

Garbage collection

$\lambda x. \lambda y. \mathbf{let} f = \lambda z. z \mathbf{in} x y$

Garbage collection

$$\lambda x. \lambda y. (\mathbf{let} f = \lambda z. z \mathbf{in} x) y \quad \xrightarrow{\text{let}} \quad \lambda x. \lambda y. x (\mathbf{let} f = \lambda z. z \mathbf{in} y)$$

Garbage collection

$$\begin{array}{ccc} \lambda x. \lambda y. \text{let } f = \lambda z. z \text{ in } x y & & \\ \swarrow \text{let} & & \searrow \text{let} \\ \lambda x. \lambda y. (\text{let } f = \lambda z. z \text{ in } x) y & & \lambda x. \lambda y. x (\text{let } f = \lambda z. z \text{ in } y) \end{array}$$

Needed: **garbage collection rules** with rewrite relation \rightarrow_{gc}

(reduce) **let** $\vec{f} = \vec{F}(\vec{f})$, $\vec{g} = \vec{G}(\vec{f}, \vec{g})$ **in** $E(\vec{f}) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E(\vec{f})$

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(nil) **let in** $L \rightarrow L$

Garbage collection

$$\begin{array}{ccc} \lambda x. \lambda y. \text{let } f = \lambda z. z \text{ in } x y & & \\ \swarrow \text{let} & & \searrow \text{let} \\ \lambda x. \lambda y. (\text{let } f = \lambda z. z \text{ in } x) y & & \lambda x. \lambda y. x (\text{let } f = \lambda z. z \text{ in } y) \\ \xrightarrow{\text{gc}} & & \xleftarrow{\text{gc}} \\ \lambda x. \lambda y. (\text{let in } x) y & & \lambda x. \lambda y. x (\text{let in } y) \\ \xrightarrow{\text{gc}} & & \xleftarrow{\text{gc}} \\ \lambda x. \lambda y. x y & & \end{array}$$

Needed: garbage collection rules with rewrite relation $\xrightarrow{\text{gc}}$

(reduce) $\text{let } \vec{f} = \vec{F}(\vec{f}), \vec{g} = \vec{G}(\vec{f}, \vec{g}) \text{ in } E(\vec{f}) \rightarrow \text{let } \vec{f} = \vec{F}(\vec{f}) \text{ in } E(\vec{f})$

(nil) $\text{let in } L \rightarrow L$

Let-sinking is confluent

$$L \xrightarrow{\text{let} \searrow \text{gc}} L' \iff L =_{\text{ex}} \cdot (\text{let} \searrow \cup \rightarrow_{\text{gc}}) \cdot =_{\text{ex}} L' \quad ((\text{let} \searrow \cup \rightarrow_{\text{gc}}) \text{ modulo } =_{\text{ex}})$$

$$[L] =_{\text{ex}} \xrightarrow{[\text{let}] \searrow [\text{gc}]} [L'] =_{\text{ex}} \iff L \xrightarrow{\text{let} \searrow \text{gc}} L' \quad (\text{on } =_{\text{ex}}\text{-equivalence classes})$$

Lemma

$\text{let} \searrow \text{gc}$ is locally confluent modulo $=_{\text{ex}}$, and $[\text{let}] \searrow [\text{gc}]$ is locally confluent.

Proposition

$\text{let} \searrow \text{gc}$ and $[\text{let}] \searrow [\text{gc}]$ are terminating.

Theorem

$[\text{let}] \searrow [\text{gc}]$ is confluent, terminating, and uniquely normalizing.

Envisaged application: lambda-lifting

Extend $\mathbf{R}_{\text{let}^\rightarrow}$ with a **parameter-addition** rule:

$$\lambda x. \mathbf{let} \ f = F(\vec{f}, \vec{g}, x), \vec{g} = \vec{G}(\vec{f}, \vec{g}, x) \ \mathbf{in} \ E(\vec{f}, \vec{g}, x)$$

$$\rightarrow \lambda x. \mathbf{let} \ \hat{f} = \lambda x'. F(\hat{f} x', \vec{g}, x'), \vec{g} = \vec{G}(\hat{f} x, \vec{g}, x) \ \mathbf{in} \ E(\hat{f} x, \vec{g}, x)$$

to enable further let-lifting.

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$$\rightarrow \lambda x. \mathbf{let} \, \hat{f} = \lambda x'. F(\hat{f} \, x', \vec{g}, x'), \vec{g} = \vec{G}(\hat{f} \, x, \vec{g}, x) \, \mathbf{in} \, E(\hat{f} \, x, \vec{g}, x)$$

to enable further let-lifting.

Aim:

- ▶ enable to let-lift ('float out') all let-bindings
to create a single outermost let-binding
- ▶ model a **lambda-lifting** translation into supercombinators
- ▶ show confluence **modulo order of combinator arguments**
- ▶ perhaps use normalized rewriting on let-floating equivalence classes

Summary

① Let-lifting

- ▶ let-lifting HRS R_{let^\nearrow} with rewrite relation let^\nearrow
- ▶ exchange conversion $=_{\text{ex}}$
- ▶ rewrite relation $\text{let}^\nearrow := (=_{\text{ex}} \cdot \text{let}^\nearrow \cdot =_{\text{ex}})$ is confluent modulo $=_{\text{ex}}$
- ▶ $=_{\text{ex}}$ -class rewrite relation $[\text{let}]^\nearrow$ is confluent and terminating

② Let-sinking rewrite relation $[\text{let}] \searrow [gc]$

- ▶ let-sinking HRS $R^{\text{let} \searrow}$ with rewrite relation $\text{let} \searrow$
- ▶ rewrite relation $\text{let} \searrow \text{gc} := =_{\text{ex}} \cdot (\text{let}^\nearrow \cup \rightarrow_{\text{gc}}) \cdot =_{\text{ex}}$ is confluent modulo $=_{\text{ex}}$
- ▶ $=_{\text{ex}}$ -class rewrite relation $[\text{let}] \searrow [\text{gc}]$ and confluent and terminating