



Three termination problems

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- Three unrelated termination problems : partial specific answers known, but no global understanding: can some general tools be useful?

- Plan :

1. The Polish Algorithm for Left-Selfdistributivity
2. Handle reduction of braids
3. Subword reversing for positively presented groups

1. The Polish Algorithm for Left-Selfdistributivity
2. Handle reduction of braids
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- A "bi-term rewrite system" (????)
- The **associativity** law (**A**): $x * (y * z) = (x * y) * z$,
... and the corresponding **Word Problem**:
Given two terms t, t' , decide whether t and t' are **A-equivalent**.
- A trivial problem: t, t' are **A-equivalent** iff become equal when brackets are removed.
- (Right-) **Polish** expression of a term: " $t_1 t_2 *$ " for $t_1 * t_2$ (no bracket needed)
Example: In Polish, associativity is $xyz** = xy*z*$.

- **Definition.**— The **Polish Algorithm** for **A**: starting with two terms t, t' (in Polish):
 - while $t \neq t'$ do
 - $p :=$ first **clash** between t and t' (p th letter of $t \neq p$ th letter of t')
 - case type of p of
 - "variable vs. blank" : return NO;
 - "blank vs. variable" : return NO;
 - "variable vs. variable" : return NO;
 - "variable vs. *" : apply A^+ to t ; ($t_1 t_2 t_3 ** \rightarrow t_1 t_2 * t_3 *$)
 - "* vs. variable" : apply A^+ to t' ; ($t_1 t_2 t_3 ** \rightarrow t_1 t_2 * t_3 *$)
 - return YES.

- Remember : in Polish, associativity is $\begin{cases} xyz** \\ xy*z** \end{cases}$.

- Example: $t = x*(x*(x*x))$, $t' = ((x*x)*x)*x$, i.e., in Polish,

$$t_0 = xxx**$$

$$t'_0 = xx*x**$$

$$t_1 = xx*x**$$

$$t'_1 = xx*x**$$

$$t_2 = xx*x**$$

$$t'_2 = xx*x**$$

So $t_2 = t'_2$, hence t_0 and t'_0 are A -equivalent.

- "Theorem". — The Polish Algorithm works for associativity.
(In particular, it terminates.)

- **Left-selfdistributivity (LD)** : $x*(y*z) = (x*y)*(x*z)$,

i.e., in Polish, $\begin{cases} xyz** \\ xy*xz** \end{cases}$ compare with associativity $\begin{cases} xyz** \\ xy*x** \end{cases}$

- Polish Algorithm: the same as for associativity.

- Example: $t = x*((x*x)*(x*x))$, $t' = (x*x)*(x*(x*x))$, i.e., in Polish,

$$t_0 = xxx*xx***$$

$$t'_0 = xx*xxx***$$

$$t_1 = xx*xx*x*x*x***$$

$$t'_1 = xx*xx*x*** \quad (= t'_0)$$

$$t_2 = xx*xx*x*x*x*** \quad (= t_1)$$

$$t'_2 = xx*xx*x*x**$$

$$t_3 = xx*xx*x*x*x*x*** \quad (= t_2)$$

$$t'_3 = xx*xx*x*x*x*x***$$

$$t_4 = xx*xx*x*x*x*x*x***$$

$$t'_4 = xx*xx*x*x*x*x*x*** \quad (= t'_3)$$

So $t_4 = t'_4$, hence t_0 and t'_0 are *LD*-equivalent.

- **Conjecture.**— The Polish Algorithm works for left-selfdistributivity.

- **Known.**— (i) If it terminates, the Polish Algorithm works for left-selfdistributivity.
(ii) The smallest counter-example to termination (if any) is huge.

1. The Polish Algorithm for Left-Selfdistributivity
2. Handle reduction of braids
3. Subword reversing for positively presented groups

- A true (but infinite) rewrite system.
- Alphabet: a, b, A, B (think of A as an inverse of a , etc.)
- Rewrite rules:
 - $aA \rightarrow \epsilon, Aa \rightarrow \epsilon, bB \rightarrow \epsilon, Bb \rightarrow \epsilon$ (so far trivial: "free group reduction")
 - $abA \rightarrow Bab, aBA \rightarrow BAb, Aba \rightarrow baB, ABA \rightarrow bAB,$
 and, more generally,
 - $ab^iA \rightarrow Ba^ib, aB^iA \rightarrow BA^ib, Ab^ia \rightarrow ba^iB, AB^ia \rightarrow bA^iB$ for $i \geq 1$.

- Aim: obtain a word that does not contain both a and A .

- Example:

$$w_0 = \underline{a}ab\underline{A}bbAA$$

$$w_1 = aB\underline{a}bb\underline{b}AA$$

$$w_2 = aBB\underline{a}a\underline{a}b\underline{A}$$

$$w_3 = aBBaaBab,$$

\rightsquigarrow a word without A

- **Theorem.**— The process terminates in quadratic time.

- Proof: (Length does not increase, but could cycle.)

Associate with the sequence of reductions a rectangular grid (quadratic area).

For the example:

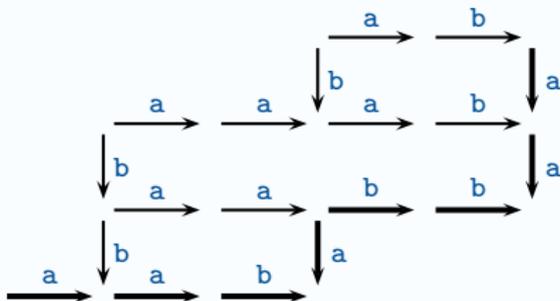
$$w_0 = \text{aabAbbAA}$$

$$w_1 = \text{aBabbbAA}$$

$$w_2 = \text{aBBaaabA}$$

$$w_3 = \text{aBBaaBab}$$

draw the grid:



- This is the braid **handle reduction** procedure;
so far: case of "3-strand" braids; now: case of "4-strand" braids
(case of " n strand" braids entirely similar for every n).
- Alphabet: a, b, c, A, B, C .
- Rewrite rules:
 - $aA \rightarrow \varepsilon, Aa \rightarrow \varepsilon, bB \rightarrow \varepsilon, Bb \rightarrow \varepsilon, cC \rightarrow \varepsilon, Cc \rightarrow \varepsilon,$ (as above)
 - for w in $\{b, c, C\}^*$ or $\{B, c, C\}^*$: $awA \rightarrow \phi_a(w), Awa \rightarrow \phi_A(w),$
with $\phi_a(w)$ obtained from w by $b \rightarrow Bab$ and $B \rightarrow BAb,$
and $\phi_A(w)$ obtained from w by $b \rightarrow baB$ and $B \rightarrow bAB,$
 - for w in $\{c\}^*$ or $\{C\}^*$: $bwB \rightarrow \phi_b(w), Bwb \rightarrow \phi_B(w),$
with $\phi_b(w)$ obtained from w by $c \rightarrow Cbc$ and $C \rightarrow CBc,$
and $\phi_B(w)$ obtained from w by $c \rightarrow cbC$ and $C \rightarrow cBC.$
- Remark.— $ab^iA \rightarrow (Bab)^i \rightarrow Ba^i b:$ extends the 3-strand case.

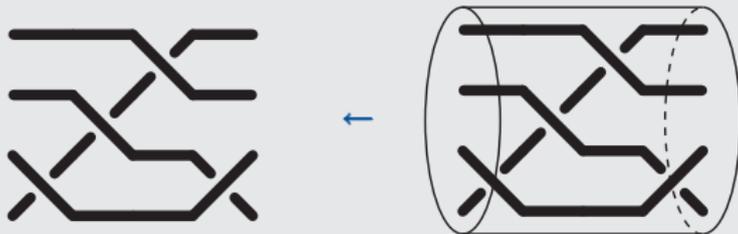
- Example:

abcbABABCBA
 Bab**c**BabBABCBA
 Bab**c**BaaABCBA
 BabcBBCBA
 BaCbcBCBA
 BaCCbcCBA
 BaCCbBA
BaCCA
 BCC

- ↪ Terminates: the final word does not contain both **a** and **A**
 (by the way: contains neither **a** nor **A**, and not both **b** and **B**.)

- **Theorem.**— Handle reduction always terminates in exponential time
 (and *id.* for n -strand version).
- **Experimental evidence.**— It terminates in **quadratic** time (for every n).

- A 4-strand **braid diagram** = 2D-projection of a 3D-figure:



- **isotopy** = move the strands but keep the ends fixed:

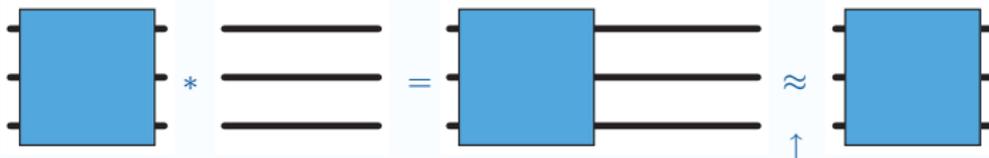


- a **braid** := an isotopy class \rightsquigarrow represented by 2D-diagram,
but different 2D-diagrams may give rise to the same braid.

- **Product** of two braids:

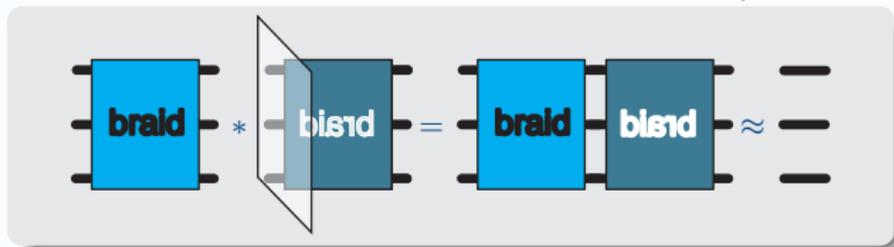


- Then well-defined (with respect to isotopy), associative, admits a unit:



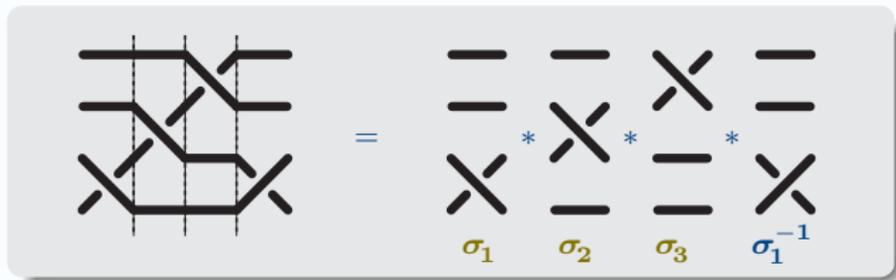
and inverses:

isotopic to

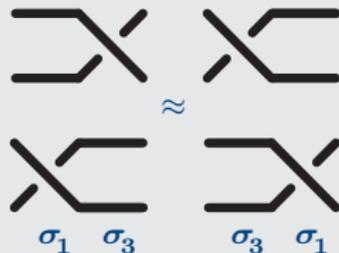
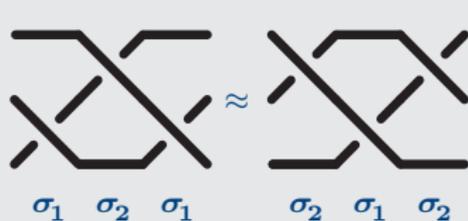


↪ For each n , the group B_n of n -strand braids (E.Artin, 1925).

- Artin generators of B_n :



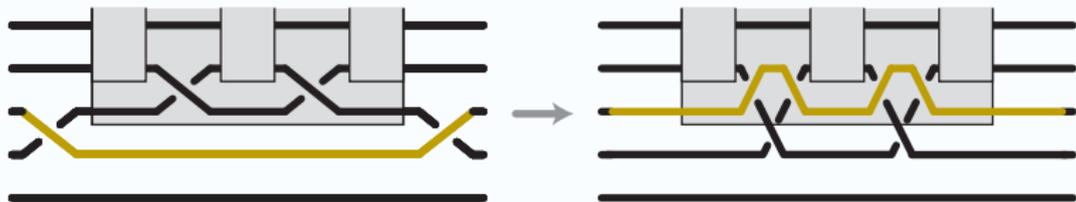
- Theorem (Artin): The group B_n is generated by $\sigma_1, \dots, \sigma_{n-1}$,
 subject to $\begin{cases} \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & \text{for } |i - j| = 1, \\ \sigma_i \sigma_j = \sigma_j \sigma_i & \text{for } |i - j| \geq 2. \end{cases}$



- A σ_i -handle:



- Reducing a handle:



- Handle reduction is an isotopy; It extends free group reduction;
Terminal words cannot contain both σ_1 and σ_1^{-1} .

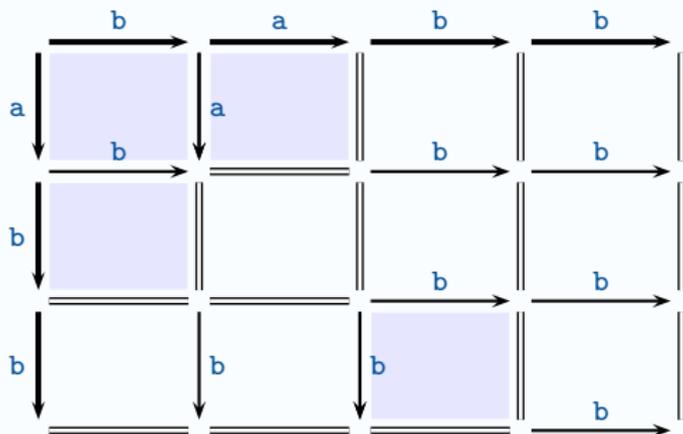
- **Theorem.**— Every sequence of handle reductions terminates.

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- This time: a truly true rewrite system...
- Alphabet: a, b, A, B (think of A as an inverse of a , etc.)
- Rewrite rules:
 - $Aa \rightarrow \epsilon, Bb \rightarrow \epsilon$ ("free group reduction" as usual, but only **one** direction)
 - $Ab \rightarrow bA, Ba \rightarrow aB$. ("reverse $-+$ patterns into $+ -$ patterns")
- Aim: transforming an arbitrary signed word into a positive–negative word.
- Example: $\underline{BBA}babb \rightarrow \underline{BBb}Aabb \rightarrow \underline{BA}abb \rightarrow \underline{Bbb} \rightarrow b$.

- "Theorem".— It terminates in quadratic time.

- Proof: (obvious). Construct a reversing grid:



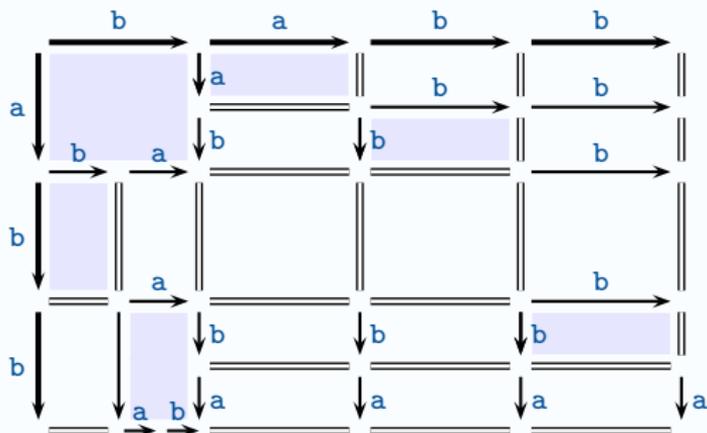
↔ Clear that reversing terminates with quadratic time upper bound (and linear space upper bound).

- Obviously: *id.* for any number of letters.

- Example 2:
- Same alphabet: a, b, A, B
- Rewrite rules:
 - $Aa \rightarrow \epsilon, Bb \rightarrow \epsilon$ (free group reduction in **one** direction)
 - $Ab \rightarrow baBA, Ba \rightarrow abAB$. ("reverse $-+$ into $+ -$ ", but different rule)

\rightsquigarrow Again: transforms an arbitrary signed word into a positive–negative word.
- Termination? Not clear: length may increase...
- Example: $\underline{BB}A\underline{b}abb \rightarrow \underline{BB}bA\underline{a}abb \rightarrow \underline{Ba}BA\underline{a}abb$
 $\rightarrow abAB\underline{B}A\underline{a}abb \rightarrow abAB\underline{B}bb \rightarrow abAB\underline{b} \rightarrow abA$.

- Reversing grid: same, but possibly smaller and smaller arrows.



- Theorem.**— Reversing terminates in quadratic time (in this specific case).

- Proof: Return to the baby case = find a (finite) set of words S that includes the alphabet and **closed under reversing**.

for all u, v in S , exist u', v' in S s.t. \exists reversing grid $u \begin{array}{c} \xrightarrow{v} \\ \downarrow \\ \xrightarrow{v'} \\ \downarrow \\ u' \end{array}$

Here: works with $S = \{a, b, ab, ba\}$.

- Always like that? Not really...

- Example 3:

Alphabet a, b, A, B , rules $Aa \rightarrow \epsilon$, $Bb \rightarrow \epsilon$, plus $Ab \rightarrow \underbrace{baba\dots\dots}_{m \text{ letters}} \underbrace{BABA}_{m \text{ letters}}$, $Ba \rightarrow \underbrace{abab\dots\dots}_{m \text{ letters}} \underbrace{ABAB}_{m \text{ letters}}$.

↪ Here : terminating in **quadratic time** and linear space

- Example 4:

Alphabet a, b, A, B , rules $Aa \rightarrow \epsilon$, $Bb \rightarrow \epsilon$, plus $Ab \rightarrow abA$, $Ba \rightarrow aBA$

Start with Bab : $\underbrace{Bab}_{w} \rightarrow a\underbrace{BA}b \rightarrow a\underbrace{B}a\underbrace{bA} \rightarrow aa\underbrace{BAb}A \rightarrow aa\underbrace{B}a\underbrace{bAA} \rightarrow aaa\underbrace{BAb}AA \rightarrow aaa\underbrace{B}a\underbrace{bAAA} \rightarrow aaaa\underbrace{BAb}AAA$

w awA a^2wA^2

↪ Here : **non-terminating**

- Example 5:

Alphabet a, b, A, B , rules $Aa \rightarrow \epsilon$, $Bb \rightarrow \epsilon$, plus $Ba \rightarrow \epsilon$, $Ab \rightarrow abab^2ab^2abab$

↪ Here : terminating in **cubic time** and quadratic space

- What are we doing? We are working with a **semigroup presentation** and trying to represent the elements of the presented group by **fractions**.
- A **semigroup presentation**: list of generators (alphabet), plus list of relations, e.g., $\{a, b\}$, plus $\{aba = bab\}$. \rightsquigarrow monoid $\langle a, b \mid aba = bab \rangle^+$, group $\langle a, b \mid aba = bab \rangle$.

• **Definition.**— Assume (A, R) semigroup presentation and, for all $s \neq t$ in A , there is exactly one relation $s\dots = t\dots$ in R , say $sC(s, t) = tC(t, s)$. Then **reversing** is the rewrite system on $A \cup \overline{A}$ (a copy of A , here : capitalized letters) with rules $\overline{ss} \rightarrow \epsilon$ and $\overline{st} \rightarrow C(s, t)\overline{C(t, s)}$ for $s \neq t$ in A .

- Reversing does not change the element of the group that is represented;
 \rightsquigarrow if it terminates, every element of the group is a fraction fg^{-1} with f, g positive.
- Example 1 = reversing for the free Abelian group: $\langle a, b \mid ab = ba \rangle$;
- Example 2 = reversing for the 3-strand braid group: $\langle a, b \mid aba = bab \rangle$;
- Example 3 = reversing for type $I_2(m+1)$ Artin group: $\langle a, b \mid \underbrace{abab\dots}_{m+1} = \underbrace{babab\dots}_{m+1} \rangle$;
- Example 4 = reversing for the Baumslag–Solitar group: $\langle a, b \mid ab^2 = ba \rangle$;
- Example 5 = reversing for the ordered group: $\langle a, b \mid a = babab^2ab^2abab \rangle$.

- The only known facts:
 - reduction to the baby case \Rightarrow termination;
 - self-reproducing pattern \Rightarrow non-termination;
 - if reversing is complete for (\mathbf{A}, \mathbf{R}) , then it is terminating
iff any two elements of the monoid $\langle \mathbf{A} \mid \mathbf{R} \rangle^+$ admit a common right-multiple.

• **Question.**— What are **YOU** say about reversing?

For the Polish Algorithm:

- P. Dehornoy, Braids and selfdistributivity, Progress in math. vol 192, Birkhäuser 2000 (Chapter VIII)
- O. Deiser, Notes on the Polish Algorithm, deiser@tum.de (Technische Universität München)

For Handle Reduction of braids:

- P. Dehornoy, with I. Dynnikov, D. Rolfsen, B. Wiest, Braid ordering, Math. Surveys and Monographs vol. 148, Amer. Math. Soc. 2008 (Chapter V)

For reversing associated with a semigroup presentation:

- P. Dehornoy, with F. Digne, E. Godelle, D. Krammer, J. Michel, Foundations of Garside Theory, submitted www.math.unicaen.fr/~dehornoy/ (Chapter II)